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Certification under 37 C.E.R. 1.8b

I hereby certify that this paper (along with any paper referred to as being associated herewith) is heing facsimile transmitted to the U.S. Patent and Trademark Office to Fax, No. 5710-73-6394 on July 17, 2009.

Valerie Neymeyer-Tynkov

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appl. No.:

09/890,335

Applicant: Examiner: Cevc, et al. Brian J. Gangle

Art Unit:

1645

Title:

NON-INVASIVE VACCINATION THROUGH THE SKIN

Customer No.:

85965

Mail Stop 16

Director of the U.S. Patent and Trademark Office

P.O. Box 1450

Alexandria, VA 22313-1450 -

ASSERTION OF SMALL ENTITY STATUS UNDER 37 CFR 1.27(C) AND REQUEST FOR REFUND OF OVERPAID FEES UNDER 37 CFR 1.28

<u>Assertion of small entity status under 37 CFR 1.27(c)</u>: Small entity status is entitled to be asserted in the above-referenced patent application. This document is submitted to assert small entity status for this application, in good faith, before the U.S. Patent and Trademark Office.

Request for Refund of Overpaid Fees under 37 CFR 1.28: A refund in the amount of \$710 is requested.

Applicant filed an Amendment in this application on May 26, 2009, with a credit card payment form authorizing a charge of \$1420 to pay for an RCE, a one-month extension of term, and independent/excess claims, based on large entity status. The attached credit card statement (redacted) shows payment of \$1420 to the USPTO on May 27, 2009. However, as mentioned above, this application is entitled to small entity status. As small entity status fees for the above-mentioned items are \$710, Applicant requests a refund of \$1420-\$710=\$710. Please submit the refund to the same credit card charged on May 27, 2009 in this application. In the event that any fees are deemed due in this application, the Commissioner and Director are authorized to pay said fees from Deposit Account No. 50-4939, or to credit any overpayment therein. Questions relating to this matter may be directed to the below-signed representative.

Respectfully submitted

Valerie Neymeyer-Tynkov

Reg. No. 46,956

Neymeyer-Tynkov LLC 20 N. Clark St. Suite 600

Chicago, 1L 60602

Phone: 312/965-1969

MAY 27 2009 (0+09) Approved for use through 95/31/2009, OMB 9851-0931 U.S. Petent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Index the Pasework Reduction Act of 1985, no persons are required to assembling a collection of information universal Lecentains, a valid CMS control number Request 09/890.335 Application Number for 04/08/2002 Filing Date Continued Examination (RCE) Gregor Ceva First Named Inventor **Transmittal** Address to: 1645 Art Unit Mall Stop RCE Gangle, Brian J. **Commissioner for Patents Examiner Name** P.O. Box 1450 Alexandria, VA 22313-1450 Attorney Docket Number This is a Request for Continued Examination (RCE) under 37 CFR 1.114 of the above-identified application. Request for Communed Examination (RCE) practice under 37 CFR 1.114 does not apply to any utility or plant application filed prior to June 8, 1996, or to any design application. See Instruction Sheet for RCEs (not to be submitted to the USPTO) on page 2, Submission required under 37 CFR 1.114 Note: If the RCE is proper, any previously filed unentered amendments and amendments enclosed with the RCE will be entered in the order in which they were filed unless applicant instructs otherwise. If applicant does not wish to have any previously filed unentered amendment(s) entered, applicant must request non-entry of such amendment(a). Previously submitted. If a final Office action is outstanding, any amendments filed after the final Office action may be considered as a submission even if this box is not checked. Consider the arguments in the Appeal Brief or Reply Brief previously filed on Other b. [1 Enclosed Information Disclosure Statement (IDS) Amendment/Reply Affidavit(s)/ Declaration(s) Other Miscellaneous Suspension of action on the above-identified application is requested under 37 CFR 1.103(c) for a months. (Period of suspension shall not exceed 3 months; Fee under 37 CFR 1.17(1) required) period of_ The RCE fee under 37 CFR 1.17(e) is required by 37 CFR 1.114 when the RCE is filed. Fees The Director is hereby authorized to charge the following fees, any underpayment of fees, or credit any overpayments, to Deposit Account No. RCE fee required under 37 CFR 1.17(e) Extension of time fee (37 CFR 1.136 and 1.17) Other enclosed Check in the amount of \$ Payment by credit card (Form PTO-2038 enclosed) 7 WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. APPLICANT, ATTORNEY, OR AGENT REQUIRED SIGNATURE OF May 28, 2009 Registration No. Name (Print/Type) 46.956 Velerie Neymeyer-Tynkov CERTIFICATE OF MAILING OR TRANSMISSION I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mell in an envelope addressed to Mail Stop RCE, Convessioner for Parents, P. O. Box 1450. Alexandria, VA 22313-1450 or facsimile transmitted to the U.S. Patent and Trademark Office on the date shown below-Date May 26, 2009 Namo (PrintType) Valeria Neymeyer-Typkoy This collection of information is required by 37 CFR 1.114. The information is required to obtain or retain a benefit by the public which is to fite (and by the USPTO

This collection of stramation is required by 37 CFR 1.114. The anomalous is required to based in the state of control by the process) an application. Confidentially is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to lake 12 minutes to complete indusing gathering, end submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Tredemark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT 6E NO FEES OR COMPLETED FORMS TO THIS ACCRESS. SEND TO: Mail Stop RCE, Commissionner for Patentis, P.O. Box 1450, Alexandria, VA 22313-1450. If you need essistence in completing the form, call 1-800-PTO-9199 and select option 2.

PAGE 1/16 * RCVD AT 5/27/2009 2:09:31 AM [Eastern Daylight Time] * SVR:USPTO-EFXRF-6/7 * DNIS:2738300 * CSID: * DURATION (mm-ss):05-44

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appl. No.:

09/890,335

Applicant:

Cevc, et al.

Examiner:

Brian J. Gangle

Art Unit:

1645

Title:

NON-INVASIVE VACCINATION THROUGH THE SKIN

Customer No.:

85965

Mail Stop Amendment Commissioner for Patents P.Q. Box 1450 Alexandria VA 22313-1450

REQUEST FOR EXTENSION OF TIME

Applicant respectfully requests a one-month extension of the present term for responding to the Office Action issued on January 26, 2009, from the present term ending April 26, 2009 up to May 26, 2009. Payment for said extension of time accompanies this Request.

May 26, 2009

dai Date 7/27/2009

US/27#2UB9/JVOKG1

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Respectfully submitted

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PAGE 15/16 * RCVD AT 5/27/2009 2:09:31 AM [Eastern Daylight Time] * SVR:USPTO-EFXRF-6/7 * DNIS:2738300 * CSID: * DURATION (mm-ss):05-44

USSN 09/890,335 Response to Office Action mailed January 26, 2009 Amendment filed May 26, 2009

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Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria VA 22313-1450

AMENDMENT

· Sir:

In response to the Office Action of January 26, 2009, please amend the above-identified application as set forth below. A Request for Continued Examination and a request to extend the term for responding to the Action accompanies this Amendment, as does payment of related fees.

Amendments to the Specification begin on page 2 of this paper.

Amendments to the Claims begin on page 3 of this paper.

cent date: 07/27/2009 SDIRETAT 2009 JUNE Remarks/Arguments/begin on page 9 of this paper.

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PAGE 2/16 * RCVD AT 5/27/2009 2:09:31 AM [Eastern Daylight Time] * SVR:USPTO-EFXRF-6/7 * DNIS:2738300 * CSID: * DURATION (mm-ss):05-44

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